

Form PTO-1449 (Modified)  <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	Atty Docket No. DYOUP0283US	Serial No. <b>10752204</b>
	Applicant: Robert William Eason et al.	
	Filing Date 09/19/2005	Group 2874

## U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Sub-class	Filing Date if Appropriate
PH	6,456,762	09/2002	Nishiki et al.			

## FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Sub-class	Translation	
						Yes	No
PH	2001 166159	06/2001	JP				
PH	99/22256	05/1999	WO				

## OTHER ART

Examiner Initial	Author, Title, Date, Pertinent Pages, etc.
PH	Wu, B. et al. "UV Written Bragg Gratings on LiNbO <sub>3</sub> Channel Waveguides." <u>Proceedings of the Australian Conference on Optical Fibre Technology</u> . (7/1999).
PH	Park, N. et al. "Photorefractive Waveguide Formation with Refractive Index Reversal by Use of Thermal Fixing." <u>Electronic Letters</u> . 36.5 (3/2000): 429-430.
PH	Itoh, K. et al. "Fabrication Experiment of Photorefractive Three-dimensional Waveguides in Lithium Niobate." <u>Optics Letter</u> . 19.9 (05/1994): 652-654.
PH	Itoh, K. et al. "Fabricating Micro-Bragg Reflectors in 3-D Photorefractive Waveguides." <u>Optics Express</u> . 2.12 (06/1998): 503-508.
PH	Zhao, F. et al. "Ultraviolet Ca K-line Narrow-bandwidth Imaging Filters Based on Holographic Bragg Gratings in Photorefractive Materials." <u>Optical Engineering</u> . 36.10 (10/1997): 2918-2921.

Examiner Initial	Author, Title, Date, Pertinent Pages, etc.	10/522042 DT01 Rec'd PCT/PT 1'9 JAN 2005
PH	Matoba, O. et al. "Array of Photorefractive Waveguides for Massively Parallel Optical Interconnections in Lithium Niobate." <u>Optics Letters</u> . 21.2 (01/1996): 122-124.	
PH	McMillen, D. et al. "Holographic Recording in Specially Doped Lithium Niobate Crystals." <u>Optics Express</u> . 2.12 (06/1998): 491-502.	
PH	Britton, P.E. et al. "Parametric Oscillator Directly Pumped by a 1.55- $\mu$ m Erbium-fiber Laser." <u>Optics Letters</u> . 24.14 (07/1999): 975-977.	
PH	Mizzi, P. et al. "Analysis and Characterisation of Erbium Implanted, Titanium Diffused Lithium Niobate Optical Waveguides." <u>Optoelectronics and Microelectronic Materials and Devices Proceedings</u> . (12/1996): 434-437.	
PH	Mignotte, C. "Structural Studies of Erbium-Implanted LiNbO <sub>3</sub> Single Crystals." <u>Nuclear Instruments &amp; Methods in Physics Research, Section -B</u> . 187.1 (01/2002): 95-110.	
PH	Sada, C. et al. "Erbium Incorporation in LiNbO <sub>3</sub> Crystals Obtained by Ion-exchange Process." <u>Optics Materials</u> . 19.1 (02/2002): 23-31.	
PH	Becker, C. et al. "Integrated Optical Ti:Er:LiNbO <sub>3</sub> Distributed Bragg Reflector Laser with a Fixed Photorefractive Grating." <u>Optics Letters</u> . 23.15 (08/1998): 1194-1196.	
PH	Hukriede, J. et al. "Thermally Fixed Reflection Gratings for Infrared Light in LiNbO <sub>3</sub> :Ti:Fe Channel Waveguides." <u>Optics Letters</u> . 23.17 (09/1998): 1405-1407.	
PH	Wooten, E. et al. "A Review of Lithium Niobate Modulators for Fiber-Optic Communications System." <u>IEEE Journal of Selected Topics in Quantum Electronics</u> . 6.1 (2000): 69-82.	
PH	Schmidt, R. V. et al. "Acoustooptic Bragg Deflection in LiNbO <sub>3</sub> Ti-Diffused Waveguides." <u>IEEE Journal of Quantum Electronics</u> . (01/1975): 57-59.	
PH	Schmidt, R. V. et al. "Metal-diffused Optical Waveguides in LiNbO <sub>3</sub> ." <u>Applied Physics Letters</u> . 25.8 (10/1974): 458-460.	
PH	Jackel, J. L. et al. "Proton Exchange for High-index Waveguides in LiNbO <sub>3</sub> ." <u>Applied Physics Letters</u> . 41.7 (10/1982): 607-608.	

EXAMINER <i>Phan T. H. Palmer</i>	DATE CONSIDERED <i>05/06/2006</i>
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

#### Information Disclosure Statement PTO-1449 (Modified)

The identification of any reference is not intended to be, and should not be understood as being, an admission that such publication, in fact, constitutes "prior art" within the meaning of applicable law since, for example, a given reference may have a later effective date than first seems apparent or the reference may have an effective date which can be antedated. The "prior art" status of any reference is a matter to be resolved during prosecution.  
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